Comparison of Doppler echocardiographic methods with heart catheterisation in assessing aortic valve area in 100 patients with aortic stenosis

J L Fischer, T Haberer, D Dickson, L Henselmann

Abstract

Objective—To examine the practicability and accuracy of Doppler echocardiographic methods in determining aortic valve area.

Methods—Aortic valve areas determined by three methods using Doppler echocardiography (applying the continuity equation and the modified Gorlin formula using data from Doppler echocardiography and right heart catheterisation) were compared with values obtained by heart catheterisation.

Patients—100 consecutive patients with aortic stenosis aged between 34 and 83 years (mean (SD) 66 (10)).

Results—Differences in individual patients’ measurements of aortic valve area by the three Doppler techniques varied by up to 0·56 cm² compared with values obtained by heart catheterisation. On average, values obtained from Doppler echocardiographic methods lay up to 51% below and 78% above those obtained by heart catheterisation.

Conclusions—All three Doppler echocardiographic methods were practicable in routine clinical practice for patients of all ages, but they were of limited accuracy when compared with the aortic valve areas found invasively using the invasive Gorlin equation. However, these deviations may not always be due to inadequacies of the Doppler methods: they could also be caused by limitations in the Gorlin formula. Doppler methods can be repeated if required, they allow examination of the morphology of the valve, and they subject the patient to considerably fewer risks than the invasive procedure. An adequate strategy in determining the severity of aortic valve stenosis would be to calculate the valve area by Doppler echocardiography as well as considering the valvar aortic pressure gradient. The valve area alone should not be relied on exclusively, as has been the increasing practice in the past few years.

Keywords: aortic valve area; Doppler echocardiography; heart catheterisation; aortic stenosis

Doppler echocardiography enables the quantitative non-invasive determination of the severity of aortic valve stenosis. This can be done by determining the pressure gradient at the aortic valve and also by direct calculation of the aortic valve area. Indirect ways of determining the aortic valve area such as the t-80 ms method, the ratio of acceleration time to ejection time, and the difference in ejection time enable only a rough estimate of the severity of aortic stenosis. We applied a completely non-invasive method using the continuity equation and semi-invasive methods using the modified Gorlin formula and compared these estimates of aortic valve area with the results obtained from invasive left heart catheterisation.

Patients and methods

One hundred and thirteen patients with suspected aortic valve disease were consecutively examined by M mode echocardiography, cross sectional echocardiography, pulsed and continuous Doppler echocardiography, and heart catheterisation between May 1987 and January 1991. Six patients were not suitable for the study because they had grade III or IV aortic insufficiency on heart catheterisation; one of these patients also showed stenosis of the aortic isthmus. Although all 113 patients had a gradient across the aortic valve, no satisfactory Doppler echocardiographic views could be obtained in two patients and retrograde passing of the calcified aortic valve was not possible during heart catheterisation in one patient. Aortic stenosis was diagnosed in one patient using the Bernoulli equation after performing Doppler echocardiography at a maximal flow velocity of 2·1 m/s, but we found no peak to peak gradient on heart catheterisation. As a result of this, patients with a flow velocity of 2·1 m/s or less were not included in the study (two further patients). One patient had auscultatory and echocardiographic and Doppler echocardiographic valvar aortic stenosis with a maximal flow velocity of 4·25 m/s over the aortic valve but no peak to peak gradient during heart catheterisation. This remained unexplained. Thus 100 patients (46 women) were considered in this analysis.

Eighty three patients had sinus rhythm, 16 patients had atrial fibrillation, and one patient

MEASUREMENT
had alternating ventricular pacemaker and sinus rhythm. The mean age of the patients was 66 (SD 10) years (range 34–83 years). Heart catheterisation showed that 13 patients had pure aortic stenosis and 87 had aortic valve disease with clearly predominant aortic stenosis. Five patients also had mitral valve disease, one patient had pure mitral stenosis, and 33 patients had predominantly mild mitral insufficiency. One patient had an aneurysm of the ascending aorta. Coronary heart disease with over 50% stenosis was present in 37 patients, of whom 23 had one diseased coronary vessel, four two diseased vessels, and 10 three diseased vessels.

M MODE AND CROSS SECTIONAL ECHOCARDIOGRAPHY

An electronic sector scanner was used (Toshiba SSH-40A, SSH-60A, SSH-65A, and SSH-I60A; 3-75 and 2-5 MHz transducers) for the echocardiographic examinations. Left ventricular end systolic and end diastolic diameter, fractional shortening, left atrial diameter, and aortic valve opening were measured in M mode. The aortic outflow tract diameter was measured just below the valve by cross sectional echocardiography in the parasternal long axis view. The cross section of this diameter was determined to enable calculation of the valve area with the continuity equation (method I below). The mean of three measurements was used.

DOPPLER ECHOCARDIOGRAPHY

The Doppler echocardiography was performed using pulsed and continuous wave Doppler (Toshiba SDS 21B, SDS-60A, SDS-65A, and SDS-160A; 2-5 MHz transducer). The continuous wave Doppler measurements were made by dedicated (pencil) and combined imaging transducers. The spectral curves were displayed on a Toshiba line scan recorder and a time motion hard copy printer. The recording speed was set to 50 mm/s at the apparatus but ranged from 42–64 mm/s. Doppler echocardiography was generally performed 24 hours before the heart catheterisation but in a few cases 48 hours before or after heart catheterisation. The prestenotic blood flow velocity, $v_1$, was measured by pulsed wave Doppler mainly in the apical two chamber view (occasionally five chamber view) roughly 1 cm in front of the aortic valve. The transvalvar maximal flow velocity, $v_2$, was measured by continuous wave Doppler in all the usual views—that is, in apical two- and five-chamber views, parasternal right and left, and the supasternal notch—and the clearest Doppler signal with the highest amplitude was used. In patients with atrial fibrillation the mean of five consecutive beats was used for $v_1$ and $v_2$. The ventricular ejection time and systolic ejection time were determined from the Doppler spectral curve $v_{2p}$; $v_2$ was planimetered three times by hand to obtain $v_{mean}$. For the calculations using methods II and III, the cardiac output and stroke volume were calculated from right heart catheterisation by Fick's method for 99 patients and by thermodilution for one patient. Aortic valve area (cm²) was calculated from the following equations:

- **Method I**: aortic valve area $= \frac{v_1 \times (d/2)^2 \times \pi}{v_2}$
- **Method II**: aortic valve area $= \frac{SV}{0.88 \times v_2 \times VET}$
- **Method III**: aortic valve area $= \frac{CO}{SEP \times v_{mean}}$

where $v_1$ is prestenotic blood flow (m/s), $v_2$ transvalvar blood flow (m/s), $d$ diameter of the aortic outflow tract (cm), $SV$, stroke volume (ml), $v_{mean}$ average planimetrered blood flow velocity (m/s), $CO$ cardiac output (l/min), VET ventricular ejection time (s), and SEP systolic ejection period (s/min).

HEART CATHETERISATION

Right and left heart catheterisation was performed in 100 patients with valvar stenosis. Cardiac output was determined by Fick's method using the arteriovenous oxygen difference (between the ascending aorta and the pulmonary artery) and oxygen consumption tables. Cardiac output was also calculated by thermodilution. Catheterisation was performed using the retrograde femoral artery technique in all 100 patients. Using the consecutive pressure curves and at a speed of 100 mm/s the mean systolic pressure gradient was determined planimetrically by hand from 3–5 beats, whereby planimetry was performed twice for each beat. The aortic valve area was calculated according to Gorlin and Gorlin and was again averaged from 3–5 beats. In the pullback the mean planimetrered systolic gradient was used for the Gorlin invasive formula. In all cases ventriculography (right anterior oblique projection 30°, 30–45 ml Ultravist contrast medium, flow 8–14 ml/s) and aortography (left anterior oblique projection, 40–50 ml Ultravist contrast medium, flow 14–16 ml/s) were performed. The ejection fraction was calculated from ventriculograms by using the formula of Dodge et al. Coronary angiography was then performed.

STATISTICAL METHODS

Comparison of the aortic valve area obtained using each of the three methods with that obtained by heart catheterisation was investigated using the differences between the methods of measurement, which were described using mean differences and standard deviations. Limits of agreement and precision of bias were calculated using 95% confidence intervals for individual differences and for mean differences respectively.

RESULTS

One hundred patients with aortic stenosis were evaluable for the analysis of aortic valve area. The transvalvar maximal blood flow velocity at the aortic valve, $v_2$, was measured by continuous Doppler in the following positions: apical two chamber view (45 patients), apical five chamber view (11 patients), pencil...
Table 1: Descriptive summary of parameters measured in study population

<table>
<thead>
<tr>
<th>Parameter</th>
<th>No of patients</th>
<th>Mean (SD)</th>
<th>Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transvalvar Doppler gradient at aortic valve (mm Hg)</td>
<td>100</td>
<td>63 (29)</td>
<td>19-145</td>
</tr>
<tr>
<td>Mean pressure Doppler gradient (mm Hg)</td>
<td>100</td>
<td>35 (17)</td>
<td>8-80</td>
</tr>
<tr>
<td>Transvalvar blood flow (m/s)</td>
<td>100</td>
<td>2.67 (0.92)</td>
<td>2.18-6.97</td>
</tr>
<tr>
<td>Average planimetered blood flow velocity (m/s)</td>
<td>100</td>
<td>2.34 (0.76)</td>
<td>1.42-4.30</td>
</tr>
<tr>
<td>Presstenotic blood flow (m/s)</td>
<td>100</td>
<td>0.83 (0.19)</td>
<td>0.44-1.45</td>
</tr>
<tr>
<td>Ventricular ejection time (s)</td>
<td>100</td>
<td>0.3 (0.04)</td>
<td>0.196-0.392</td>
</tr>
<tr>
<td>Systolic ejection period (s/min)</td>
<td>100</td>
<td>15.3 (3.8)</td>
<td>15.3-33.3</td>
</tr>
<tr>
<td>Diameter of left ventricular outflow tract (cm)</td>
<td>100</td>
<td>1.94 (0.35)</td>
<td>1.0-2.9</td>
</tr>
<tr>
<td>Fractional shortening (%)</td>
<td>84</td>
<td>34 (13)</td>
<td>10-86</td>
</tr>
<tr>
<td>Average planimetered systolic pressure gradient (mm Hg)</td>
<td>100</td>
<td>48 (24)</td>
<td>11-114</td>
</tr>
<tr>
<td>Ejection fraction (%)</td>
<td>98</td>
<td>63 (16)</td>
<td>19-89</td>
</tr>
<tr>
<td>Cardiac output (l/min): Fick method</td>
<td>98</td>
<td>4.67 (1.43)</td>
<td>1.7-8.1</td>
</tr>
<tr>
<td>Thermodilution</td>
<td>98</td>
<td>4.59 (1.56)</td>
<td>1.3-9.4</td>
</tr>
<tr>
<td>Stroke volume (ml)</td>
<td>100</td>
<td>61 (23)</td>
<td>23-125</td>
</tr>
<tr>
<td>Heart rate (beats/min)</td>
<td>100</td>
<td>76 (13)</td>
<td>51-117</td>
</tr>
<tr>
<td>Aortic valve area (cm²)</td>
<td>100</td>
<td>0.77 (0.43)</td>
<td>0.21-2.22</td>
</tr>
</tbody>
</table>

*On heart catheterisation.

To measure the agreement (or rather, discrepancies) between the resulting aortic valve areas, we calculated the differences (mean difference errors) between the aortic valve area obtained by heart catheterisation and that obtained by the three Doppler methods. From table 2, the limits of agreement (95% confidence intervals for the differences) show, for individual patients, how far the value of aortic valve area obtained by each method is likely (with 95% probability) to lie from the value obtained by heart catheterisation. For example, the estimate obtained by method III may be up to 0.48 cm² below and 0.47 cm² above the value obtained by heart catheterisation. The precision of the bias (95% confidence interval for the mean difference) shows to what extent each method is likely on average to underestimate or overestimate the aortic valve area. For example, method I is likely to underestimate (with 95% probability) the aortic valve area obtained by heart catheterisation by 0.02 to 0.10 cm². Method II is also likely to underestimate on average and method III may overestimate or underestimate on average. The widths of the 95% confidence intervals are similar for all methods (0.87 to 0.95 cm² for limits of agreement and 0.08 to 0.09 cm² for precision of bias).

This analysis assumes the differences are constant over the whole possible range of values. As is seen in figures 1 to 3, there is a tendency for differences to increase with larger values of aortic valve area. This indicates that the limits of agreement in table 2 may be too wide for low values, too narrow for high values, and correct for aortic valve areas which lie close to the mean value (around 0.8 cm²).

This proportionality of the differences to the value of aortic valve area obtained by heart catheterisation can be removed by logarithmically transforming the data. The analysis was therefore repeated using logarithmically transformed data and the antilogged results are shown in table 3. These are dimensionless ratios—for example, a value of less than 1:0 indicates that the area obtained by the Doppler method is smaller than that obtained by the invasive Gorlin method. The limits of agreement show that for individual patients method I is likely (with 95% confidence) to yield a value between 51% below and 75% above the aortic valve area obtained by heart catheterisation. Method II is likely to yield values between 49% below and 51% above and method III between 44% below and 78% above those values determined by heart catheterisation. The precision of bias shows that on average method I is likely to

apical (3 patients), right parasternal (14 patients), left parasternal (1 patient), suprasternal (1 patient), apical two and five chamber view (11 patients), apical two and five chamber view and right parasternal (12 patients), apical two and five chamber and right parasternal and suprasternal (two patients). Thus measurements of blood flow velocity in the apical view were obtained in 84 patients. Table 1 shows the values of cardiac parameters for the study population.

Comparison of Doppler echocardiographic methods with heart catheterisation in assessing aortic valve area in 100 patients with aortic stenosis.
Table 2  Limits of agreement and precision of bias for three Doppler methods of measuring aortic valve area compared with heart catheterisation in patients with aortic stenosis. Values are cm²

<table>
<thead>
<tr>
<th>Doppler method</th>
<th>Mean difference (SD) in area by Doppler and heart catheterisation</th>
<th>Limit of agreement*</th>
<th>Precision of bias</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>0.06 (0.22)</td>
<td>0.50 to 0.58</td>
<td>0.10 to 0.02</td>
</tr>
<tr>
<td>II</td>
<td>0.10 (0.21)</td>
<td>0.56 to 0.51</td>
<td>0.15 to 0.06</td>
</tr>
<tr>
<td>III</td>
<td>0.006 (0.24)</td>
<td>0.48 to 0.47</td>
<td>0.05 to 0.04</td>
</tr>
</tbody>
</table>

*95% confidence interval for difference between the two methods, or how far value is likely to be with 95% probability from value by heart catheterisation. 199% confidence interval for mean difference, or the average underestimation or overestimation of aortic valve area as measured by heart catheterisation.

Table 3  Limits of agreement and precision of bias for three Doppler methods of measuring aortic valve area compared with heart catheterisation in patients with aortic stenosis. Values are antilogs.

<table>
<thead>
<tr>
<th>Doppler method</th>
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<th>Limit of agreement*</th>
<th>Precision of bias</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>0.92 (1.38)</td>
<td>0.49 to 1.75</td>
<td>0.86 to 0.98</td>
</tr>
<tr>
<td>II</td>
<td>0.88 (1.32)</td>
<td>0.51 to 1.51</td>
<td>0.82 to 0.92</td>
</tr>
<tr>
<td>III</td>
<td>0.99 (1.35)</td>
<td>0.56 to 1.78</td>
<td>0.93 to 1.05</td>
</tr>
</tbody>
</table>

*95% confidence interval for difference between the two methods, or how far value is likely to be with 95% probability from value by heart catheterisation. 199% confidence interval for mean difference, or the average underestimation or overestimation of aortic valve area as measured by heart catheterisation.

underestimate aortic valve area by about 2–14% (with 95% probability) and method II by 8–18%; method III may underestimate by up to 7% or overestimate by up to 5% on average.

Discussion
The assessment of the severity of an aortic stenosis without heart catheterisation is often difficult. Nowadays, non-invasive Doppler echocardiography estimates severity in addition to detecting such stenosis. Good agreement between such values and those obtained by invasive heart catheterisation in determining maximal aortic stenosis pressure gradients have been shown.18–24 Nevertheless, discrepancies have also been reported, and in particular it has been warned that the true maximal pressure gradient cannot be recorded using the Doppler echocardiographic methods.25–27 As well as determining the gradient, Doppler echocardiography offers the possibility of measuring aortic valve area.28–31 This is particularly important since the determination of aortic valve area is indispensable in judging the severity of aortic valve stenosis in patients with reduced ventricular function.36–37

We were particularly interested in the applicability and accuracy of the Doppler echocardiographic methods in determining the aortic valve area.36–38 All parameters required for the determination of aortic valve area could be derived for 100 of the 113 patients in this study. This indicates the practicability of Doppler echocardiographic methods in patients with aortic stenosis, as our patients were of varying ages and consecutively referred. Experience shows that measurement of the aortic outflow tract in calcified aortic stenosis is often associated with a measurement error. With methods II and III, the aortic valve area was obtained using data from Doppler echocardiography and right heart catheterisation—namely, the stroke volume in method II and the cardiac output in method III. Cardiac output and stroke volume could also have been obtained non-invasively by Doppler echocardiography. This is, however, extremely time consuming, requires considerable experience,39–40 and is often technically impossible in older patients.

To measure the disagreement between each of the Doppler echocardiographic methods and heart catheterisation, pairwise differences of aortic valve area were calculated for each of the 100 patients. The valve area obtained from the Doppler methods differed by up to 0.56 cm² (95% confidence limit) from the value obtained by heart catheterisation. This must be considered as unacceptable, given that a value of 0.8 cm² or less indicates aortic valve replacement and a larger value indicates a more conservative approach. Considering the disagreements as percentage differences, we found that the Doppler echocardiographic values were likely to lie somewhere between 51% below and 78% above those obtained by heart catheterisation (with 95% probability). Comparing the variability in individual patient measurements, we found that methods I and III overestimated or underestimated the aortic valve area to a similar extent. Method II had a slightly narrower confidence interval, but methods I and II largely produced similar results. Methods I and II are likely to underestimate the aortic valve area on average and method III may underestimate or overestimate aortic valve area.

The considerable discrepancies between results obtained by the three methods and those obtained by heart catheterisation require some explanation. Some recent evidence indicates that the Gorlin formula, the accepted standard for assessing aortic stenosis, has some accuracy limitations for aortic valve areas between 0.5 cm² and 1.5 cm²27 30 41 and low flow states, for which the Gorlin equation may be less accurate than the Doppler derived aortic valve area estimated by the continuity equation.32 One important determination required by the Gorlin formula is an accurate measure of the pressure gradient. Several potential sources of error in cardiac catheterisation laboratories include the retrograde placement of a left ventricular pressure catheter across a stenotic aortic valve. This further reduces the effective orifice area, which may alter the gradient, especially in patients with aortic valve stenosis. Retrograde replacement can also induce aortic regurgitation and alter the gradient. Furthermore, the pressure gradient at the aortic valve may change when contrast medium is applied in the left ventricle or when the patient receives medication. There has been some discussion that the Gorlin constant is not a constant at all but an empirically derived estimate that almost certainly varies with transvalvar flow and pressure.41 The aortic valve area derived from this Gorlin
Comparison of Doppler echocardiographic methods with heart catheterisation in assessing aortic valve area in 100 patients with aortic stenosis

formula may greatly underestimate the true orifice size, particularly in patients with low cardiac output.43

The continuity equation in method I offers several advantages over catheterisation. Unlike the Gorlin equation, the continuity equation does not require the use of an empirically derived constant or the calculation of flow rates, pressure gradients, heart rate, or systolic ejection period. In addition, the continuity equation requires an invasive procedure, and therefore serial eval-

uation by Doppler echocardiography is made easier. The accuracy of the estimates of aortic valve area in the continuity equation has been shown to be dependent on the reliability of measurements of the diameter and velocity in the left ventricular outflow tract.44 45 The measurement of the diameter is a potential source of error. A variability of 2 mm in the diameter of the left ventricular outflow tract diameter could result in an 18% error in the estimated aortic valve area.45 We also empha-
sise that when measuring the prestenotic flow, v1, the sample volume should be prox-
nal to the aortic annulus to avoid accelerated velocity.46 Different values for the prestenotic flow can lead to differing results for the aortic valve area, especially when this area is around 1 cm².42 In our experience, flow in the left ventricular outflow tract should be mapped from the aortic valve into the left ventricle, where the prestenotic blood flow can then be seen. With experience varying values for prestenotic flow are no longer a problem.

Methods II and III are considered to be semi-invasive as they require data from inva-
sive right heart catheterisation and those from non-invasive Doppler echocardiography. They have the advantage over the Gorlin for-

mula that left heart catheterisation is not neces-
sary. Serious complications can occur during left heart catheterisation—for example, as the result of dislodged calcium deposits at the aortic valve or the injection of contrast medium into the left ventricle. Unlike for the continuity equation the prestenotic flow velocity, v₁, and the diameter of the left ventricular outflow tract are not required. Cardiac output and stroke volume are determined by right heart catheterisation and thus the transvalvar flow, which directly influences the equation, is determined exactly. Although the cardiac output used in methods II and III is the same as that used in the Gorlin invasive formula, the accuracy of these methods was not better than that obtained by the continuity equation. The equation for method II also contains a con-
stant and both methods II and III are fre-

quency dependent.

The pairwise comparisons of values for all methods show the potential differences between Doppler echocardiographic methods and heart catheterisation in determining aortic valve area. We observed extreme devia-
tions of individual measurements, such deviations increasing with increasing aortic valve area. Furthermore, the deviations observed may not always be due to inadequ-
cies of the Doppler methods: they could also be caused by limitations in the Gorlin for-

mula. Further similar studies on larger num-
bers of patients are required to achieve more precise estimates of differences between the methods.

We thus conclude and recommend that the valvar aortic gradient should always be deter-
mimed when evaluating aortic valvar stenosis. This is crucial, especially for patients with normal or near normal flows as it is impor-
tant to consider the aortic valve area in patients with impaired left ventricular func-
tion. We found that 8% of patients in our study whose aortic valve area indicated valve replacement according to the Doppler method had a low peak to peak gradient. Similarly, 8% of the patients whose aortic valve area indicated valve replacement according to the invasive Gorlin method had a low gradient. These two groups of patients were exclusive (no patients were classified in both groups), and none of them had clinical symptoms of severe aortic stenosis. Invasive left heart catheterisation is not strictly necessary, even in elderly patients, because the Doppler echo-
cardiographic technique is practicable and the limitations of the Gorlin formula apply to patients of all ages.

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**NOTICES**

The 1995 Annual Meeting of the British Cardiac Society will take place at the Conference Centre, Harrogate, North Yorkshire from 23 to 25 May.

The Stent Summit (Ten Years of Stenting) will take place in London on 30 and 31 May, 1996. For further information, please contact: Dr U Sigwart, Department of Invasive Cardiology, Royal Brompton Hospital, Sydney Street, London SW3 6NP (tel: +44 171 351 8615; fax: +44 171 351 8614).

The European Lipoprotein Club will meet in Tuzting, near Munich, Germany on 11-14 September 1995. For participants associated with academic institutions there are no registration fees or charges for accommodation. Further information and abstract forms can be obtained from the secretary: Professor G Francheschini, c/o ELC, Fondazione Giovanni Lorenzini, via Appiani 7, 20121 Milano, Italy (tel: +39 2 6471690; fax: +39 2 29007018).
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