Pulmonary function and respiratory muscle strength in chronic heart failure: comparison between ischaemic and idiopathic dilated cardiomyopathy

M Daganou, I Dimopoulou, P A Alivizatos, G E Tzelepis

Abstract

Objective—To compare pulmonary function and respiratory muscle strength in patients with ischaemic and idiopathic dilated cardiomyopathy, well matched for indices of heart failure.

Methods—The study involved 30 patients with ischaemic cardiomyopathy and 30 with idiopathic dilated cardiomyopathy. The groups were well matched for age, weight, and clinical severity of cardiac dysfunction as assessed by ejection fraction and the New York Heart Association functional class. There were more smokers in the ischaemic group (p < 0.05), but indices of pulmonary function were comparable.

Results—Mean (SD) maximum static inspiratory pressure was lower in dilated cardiomyopathy than in ischaemic cardiomyopathy (73 (20) vs 84 (22) cm H2O, p < 0.05), as was the maximum static expiratory pressure (90 (20) vs 104 (21) cm H2O, p < 0.05).

Conclusions—For a given degree of cardiac dysfunction, the respiratory muscles are weaker in patients with idiopathic dilated cardiomyopathy than in those with ischaemic cardiomyopathy.

(Heart 1999;81:618–620)

Keywords: respiratory muscles; congestive heart failure; pulmonary function; cardiomyopathy

Respiratory abnormalities, such as restrictive and obstructive defects, diffusion impairment, and respiratory muscle weakness, are common in patients with chronic congestive heart failure. Of these, respiratory muscle weakness has received particular attention as studies have linked it to exercise intolerance and dyspnoea in these patients.

The underlying cause of respiratory muscle weakness is uncertain, but one potential contributing factor might be reduced muscle perfusion related to low cardiac output. Furthermore, respiratory muscle weakness has been described in patients with both ischaemic cardiomyopathy and idiopathic dilated cardiomyopathy, but it is unknown whether the respiratory muscles are equally affected in these diseases. Indirect evidence in favour of differences in respiratory muscle strength between the two types of cardiomyopathy is raised by various studies, suggesting a greater skeletal muscle involvement in idiopathic dilated cardiomyopathy than in ischaemic cardiomyopathy.

We compared pulmonary function and respiratory muscle strength in two groups of patients with ischaemic cardiomyopathy and idiopathic dilated cardiomyopathy, well matched for indices of heart failure. To the extent that dilated cardiomyopathy is associated with greater muscle impairment, we anticipated greater respiratory muscle weakness in this group than in the patients with ischaemic cardiomyopathy.

Methods

We studied 60 ambulatory patients with chronic heart failure secondary to ischaemic cardiomyopathy or idiopathic dilated cardiomyopathy. All were referred to our institution for possible cardiac transplantation or assessment of heart failure. The diagnosis of ischaemic cardiomyopathy was confirmed angiographically in all patients. Four of the patients had undergone coronary artery bypass graft surgery in the past. All patients were in a stable haemodynamic condition, receiving conventional treatment, and had been free of acute exacerbations for a period of at least three months before evaluation. The study was approved by the institutional review board.

Pulmonary function testing included spirometry, lung volume, and determination of single breath diffusion capacity for carbon monoxide (DLCO). All measurements were performed with a Jaeger system (Masterlab, Jaeger, Wuerzburg, Germany). Spirometric indices were calculated from the best of three efforts, defined as the effort associated with the highest sum of forced vital capacity (FVC) and forced expiratory volume in one second (FEV1). Static lung volumes were determined by the helium dilution method and DLCO values were adjusted for anaemia. All values are mean (SD) unless otherwise specified.

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Data on patients with ischaemic cardiomyopathy (ICM) or idiopathic dilated cardiomyopathy (IDCM)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>ICM (n = 30)</td>
</tr>
<tr>
<td>Age (years)</td>
<td>54 (10)</td>
</tr>
<tr>
<td>Sex (M/F)</td>
<td>29/1</td>
</tr>
<tr>
<td>Smokers (n)</td>
<td>30 (17)</td>
</tr>
<tr>
<td>Height (cm)</td>
<td>171 (7)</td>
</tr>
<tr>
<td>NYHA I-II-III-IV</td>
<td>13/17</td>
</tr>
</tbody>
</table>

Values are mean (SD) unless otherwise specified.

NYHA, New York Heart Association functional class.
Pulmonary function and respiratory muscle strength in heart failure

Table 2  Pulmonary function data

<table>
<thead>
<tr>
<th></th>
<th>ICM (n = 30)</th>
<th>IDCM (n = 30)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FVC (litres)</td>
<td>3.9 (0.9)</td>
<td>3.8 (0.8)</td>
</tr>
<tr>
<td>% predicted</td>
<td>93 (16)</td>
<td>93 (18)</td>
</tr>
<tr>
<td>FEV₁ (litres)</td>
<td>2.7 (0.7)</td>
<td>2.7 (0.7)</td>
</tr>
<tr>
<td>% predicted</td>
<td>88 (19)</td>
<td>88 (20)</td>
</tr>
<tr>
<td>FEV₁/FVC (%)</td>
<td>70 (6)</td>
<td>71 (9)</td>
</tr>
<tr>
<td>TLC (litres)</td>
<td>5.3 (1.1)</td>
<td>5.1 (1.2)</td>
</tr>
<tr>
<td>% predicted</td>
<td>84 (13)</td>
<td>83 (15)</td>
</tr>
<tr>
<td>VA (litres)</td>
<td>5.3 (1.4)</td>
<td>5.7 (1.8)</td>
</tr>
<tr>
<td>DLCO (% predicted)</td>
<td>84 (22)</td>
<td>90 (19)</td>
</tr>
</tbody>
</table>

Values are mean (SD); p not significant for all values.

Pulmonary function data are expressed as absolute values and as percent predicted, based on published normal values.

Discussion

Respiratory muscle weakness is often found in ambulatory patients with stable congestive heart failure and has been implicated in causing dyspnoea and exercise limitation in these patients. The mechanisms underlying this weakness remain obscure. The reported alterations in muscle fibre type, size, and enzyme content do not appear to be related to deconditioning, malnutrition, or electrolyte abnormalities. Because respiratory muscle weakness correlated with severity of heart failure in some studies, it has been proposed that reduced muscle perfusion may play a key role in the pathogenesis of a myopathy affecting all skeletal muscles. Indeed, a suboptimal increase in blood flow has been documented in exercising peripheral muscles, which may account for a reduction in slow oxidative (type Ia) fibres and a switch to less efficient anaerobic metabolism. However, current evidence suggests that respiratory muscle weakness may not simply be part of a generalised skeletal muscle weakness. Recent studies showed that respiratory muscle strength may not correlate with limb muscle strength and that reductions in Pmax and Pimax are proportionally greater than reductions in skeletal muscle strength. These findings therefore raised the question as to whether the respiratory muscles may be more vulnerable than limb muscles to the detrimental effects of congestive heart failure.

Our study confirms previous studies reporting respiratory muscle weakness in congestive heart failure and further extends them by showing quantitative differences among categories of heart failure, idiopathic dilated cardiomyopathy being associated with lower respiratory muscle strength (by about 15%)
than ischaemic cardiomyopathy. This disparity does not appear to be related to differences in cardiac function, functional classification, or lung volumes (that is, TLC or FRC) at which pressure measurements were performed, as the two groups were comparable in terms of ejection fraction, NYHA class, and lung volume. Furthermore, the duration of heart failure, which could theoretically influence respiratory muscle strength, was precisely defined in 28 patients (13 with idiopathic dilated cardiomyopathy and 15 with ischaemic cardiomyopathy). In these patients, within group analysis showed no relation between duration of congestive heart failure and indices of respiratory muscle strength. Although more data are needed, we believe that duration of disease is unlikely to account for the quantitative differences in respiratory muscle weakness between the two conditions.

The precise mechanism of greater respiratory muscle impairment in idiopathic dilated cardiomyopathy is unknown. Previous studies suggested that patients with this disorder may have a generalised skeletal myopathy not related to cardiac disease.6 10 15 Indeed, in patients with idiopathic dilated cardiomyopathy, Shafic et al found that the histological characteristics of skeletal muscle appeared normal by routine light microscopy but histological and electron microscopic abnormalities were invariably present.16 Likewise, Caforio et al described subclinical electromyographic changes indicative of myogenic myopathy and histological alterations characterised by selective type I fibre atrophy similar to those observed in congenital and idiopathic myopathies but different from those described in secondary heart failure.8 Thus in idiopathic dilated cardiomyopathy it is likely that the respiratory muscles are affected by a separate myopathic process, unrelated to the myopathic changes caused by heart failure. Histological studies of the diaphragm and limb muscles from patients with ischaemic cardiomyopathy and idiopathic dilated cardiomyopathy lend support to this idea. Lindsay et al showed that the histological changes were generally greater in the diaphragm than in the limb muscles and more marked in patients with idiopathic dilated cardiomyopathy than in those with ischaemic cardiomyopathy.8

To our knowledge, no previous study has examined systematic differences in respiratory function among subgroups of patients with congestive heart failure. However, a similar trend of greater respiratory muscle impairment in idiopathic dilated cardiomyopathy was provided by two studies9 12 in which respiratory muscle strength was assessed in a small group of patients with ischaemic cardiomyopathy and idiopathic dilated cardiomyopathy. Average values for respiratory pressures calculated from their data are shown in table 4.

Our study may have implications for training the respiratory muscles in patients with congestive heart failure. In a recent study,17 selective respiratory muscle training improved maximum exercise capacity and decreased dyspnoea during daily activities in patients with heart failure. Our findings of differences in respiratory muscle strength between subcategories of patients with congestive heart failure raise the question of whether the two groups respond differently to respiratory muscle training.

In conclusion, our data suggest that there are quantitative differences in respiratory muscle strength between subgroups of patients with congestive heart failure and comparable cardiac dysfunction, and that respiratory muscle weakness is greater in idiopathic dilated cardiomyopathy than in ischaemic cardiomyopathy. Further studies are required to elucidate the cause of this difference as well as to investigate any clinical implications.

---

**Table 4** Average respiratory pressures (cm H₂O) in ischaemic cardiomyopathy (ICM) and idiopathic dilated cardiomyopathy (IDCM) reported in previous studies

<table>
<thead>
<tr>
<th>Study</th>
<th>Disease</th>
<th>PmaxFRC</th>
<th>PmaxR'</th>
<th>PmaxFRC</th>
<th>PmaxTLC</th>
</tr>
</thead>
<tbody>
<tr>
<td>McParland1</td>
<td>ICM/IDCM</td>
<td>86/72</td>
<td>96/73</td>
<td>130/90</td>
<td>146/117</td>
</tr>
<tr>
<td>Hammond2</td>
<td>ICM/IDCM</td>
<td>45/34</td>
<td>49/41</td>
<td>63/58</td>
<td>78/61</td>
</tr>
</tbody>
</table>

FRC, functional residual capacity; Pmax, maximum static expiratory pressure; PImax, maximum static inspiratory pressure; RV, residual volume; TLC, total lung capacity.

---

Pulmonary function and respiratory muscle strength in chronic heart failure: comparison between ischaemic and idiopathic dilated cardiomyopathy

M Daganou, I Dimopoulou, P A Alivizatos and G E Tzelepis

*Heart* 1999 81: 618-620
doi: 10.1136/hrt.81.6.618

Updated information and services can be found at: [http://heart.bmj.com/content/81/6/618](http://heart.bmj.com/content/81/6/618)

**References**

This article cites 15 articles, 7 of which you can access for free at: [http://heart.bmj.com/content/81/6/618#BIBL](http://heart.bmj.com/content/81/6/618#BIBL)

**Email alerting service**

Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

**Topic Collections**

Articles on similar topics can be found in the following collections

- Drugs: cardiovascular system (8842)
- Dilated cardiomyopathy (292)
- Heart failure (565)
- Tobacco use (635)

**Notes**

To request permissions go to: [http://group.bmj.com/group/rights-licensing/permissions](http://group.bmj.com/group/rights-licensing/permissions)

To order reprints go to: [http://journals.bmj.com/cgi/reprintform](http://journals.bmj.com/cgi/reprintform)

To subscribe to BMJ go to: [http://group.bmj.com/subscribe/](http://group.bmj.com/subscribe/)