Validation of an automated technique for determining the mechanical characteristics of coronary arteries during balloon angioplasty: laboratory assessment with necropsy segments

A Murray, V Allen, M K Bennett

Abstract

Objectives—To develop a technique for automatic inflation of a percutaneous transluminal coronary angioplasty (PTCA) balloon, with continuous measurement of the balloon pressure and volume; to validate the technique for determining the mechanical characteristics of coronary arteries.

Methods—During necropsy examination of the hearts of nine patients, 17 coronary artery samples were obtained for histological examination. A PTCA balloon was inserted into each artery, and the balloon pressure and volume were measured continuously during four repeat automatic inflations of the balloon.

Results—Of the 17 arteries, eight showed elastic, six plastic, and three fracture pressure-volume deformation characteristics. For the plastic deformations, the first inflation required a higher pressure than subsequent repeat inflations of 82 (61) kPa (mean (SD), range 25 to 175 kPa). For the three in the fracture group, the pressure drop because of the fracture occurred between 210 and 540 kPa. Two of these three showed a tear on visual inspection, and the other showed disruption of the intimal plaque on blinded histological examination. Of the six with plastic deformation characteristics alone, one showed a tear, and on histological examination two others showed splitting of the internal and external elastic lamina and one showed separation of intima and media. None in the elastic group showed any of these characteristics.

Conclusions—Plastic and fracture deformation characteristics could be differentiated from elastic characteristics. Visual or histological evidence of fracturing was present in all three arteries identified during angioplasty as having pressure-volume fracture characteristics.

Keywords: angioplasty; PTCA; coronary artery; pressure-volume deformation

Percutaneous transluminal coronary angioplasty (PTCA) is used extensively to treat patients with coronary artery stenosis. PTCA was pioneered by Gruentzig in the 1970s. Using this technique a small balloon tipped catheter is positioned inside the artery, at the arterial stenosis, and the balloon inflated to dilate the stenosis. The technique is much less invasive than surgery, patients require less convalescence, and the procedure is considerably cheaper than coronary artery surgery.

Unfortunately, even when the direct effect of PTCA on the artery appears to be good, the long term outcome is not always successful. Between 30% and 40% of arteries restenose within about six months. At present, although factors influencing outcome are poorly understood and restenosis is unpredictable, dissection plays a major role. Huber et al reviewed 691 cases of PTCA dissection and concluded that complex dissection was associated with low success rates. Waller et al found that in 130 necropsy studies, PTCA cracks and tears with flaps were implicated in 62% of early abrupt closure of the PTCA site. Also, Beatt et al discovered evidence that restenosis was linked to the amount of mechanical stretching of the stenosed vessel wall during PTCA. The relation between the final balloon pressure and lumen diameter, estimated from radiographic images, has been studied by a number of research workers. However x-ray angiography does not have the resolution to observe the small continuous changes during the inflation. Jain et al made qualitative observations about stretching, compaction, and cracking from balloon pressure and the displacement of the inflation plunger during manually controlled inflation, and this was subsequently followed up by Demer, who was able to estimate maximum volume changes in rabbit aortas using a special custom made catheter.

Intravascular ultrasound has made great advances and can show the extent of plaque, and plaque disruption as a result of the PTCA procedure, however intravascular ultrasound techniques cannot yet be used to investigate the arterial wall simultaneously with PTCA balloon inflation.

Angioplasty can open out the arterial lumen in a number of different ways, from plastic deformation of the lesion to fracturing of hard plaque. Disruption of the arterial wall may lead to thrombus formation and reocclusion, and more extensive dissection may promote restenosis. The ability to monitor the deformation characteristics of the artery during angioplasty would promote research leading to improvements in the PTCA technique.
Validation of PTCA technique

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Provenance and peer review

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pling a PTCA catheter. This is perhaps not surpris-

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and deflation must be finely controlled. In the

only 0.3 ml is all that is required, and inflation

F3 Fracture 540 kPa† Tear Plaque to externalelastic lamina, calcified

E8 Elastic Pressurized Inflation Pressure

P2 Plastic 30 kPa* Plaque splitting of internal and external elastic lamina, 50% circumference

P5 Plastic 175 kPa* Plaque to adventitia

P1 Plastic 25 kPa* Plaque superficial

P6 Plastic 135 kPa* 7 mm linear tear Plaque to external elastic lamina with multifocal involvement

Pressure–volume elastic characteristic

F1 Fracture 210 kPa† 10 mm linear tear Plaque > 75% circumference, calcified, fractured

E7 Elastic Plaque to internal elastic lamina, > 50% circumference

E5 Elastic Plaque ruptured internal elastic lamina

E6 Elastic Plaque ruptured internal elastic lamina

E4 Elastic Plaque ruptured internal elastic lamina

E3 Elastic Plaque ruptured internal elastic lamina

E2 Elastic Plaque to internal elastic lamina

E1 Elastic Plaque to internal elastic lamina

Sample

Pressure–volume analysis

Visual findings

Histopathology

Table 1 Mechanical and histological data

<table>
<thead>
<tr>
<th>Sample</th>
<th>Pressure–volume analysis</th>
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<tbody>
<tr>
<td>E1</td>
<td>Elastic</td>
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<tr>
<td>E2</td>
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<td>E7</td>
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<td>Plaque to internal elastic lamina, &gt; 50% circumference</td>
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<td>Plastic 45 kPa*</td>
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<tr>
<td>P4</td>
<td>Plastic 80 kPa*</td>
<td>Plaque 50% circumference, intima and media separated</td>
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<td>F3</td>
<td>Fracture 540 kPa†</td>
<td>Tear</td>
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*Maximum pressure difference between first and subsequent inflations; †pressure at which fracture occurred.

MEASUREMENT PROTOCOL

MEASUREMENT SYSTEM

The PTCA inflator was filled with water and attached to a 2 m length of connecting tube (monitoring/infusion line, low density polyeth-

e, inner diameter 1 mm). The long 2 m length of tube was used as it represented the longest length required for subsequent clinical

also, our previous work15 16 showed that the effect of this length was negligible in comparison with other properties, and that the

use of water produced results indistinguishable from those with contrast because of the very

low flow rates used. The other end of the tube was connected to a three way tap, which was in turn connected to a fluid reservoir (for filling

the system) and a second three way tap. This tap was connected directly to the pressure transducer and PTCA catheter. Before the

transducer and catheter were connected they were filled carefully to remove all visible traces of air. To aid this, the PTCA catheter was put

under negative pressure several times for several seconds, as it would be during a normal

clinical procedure. All joints were carefully
tightened to ensure that they would not leak,
and after confirming by inspection that there were no visible air bubbles in the system, the
tap to the reservoir was turned off.

MEASUREMENT PROTOCOL

The arterial sample was placed in a shallow dish filled with normal saline. The deflated balloon was inserted carefully into the artery.

For short segment lengths, it was positioned centrally. The balloon was then inflated automatically to 800 kPa (8 bar) and after a

Inflator and Balloon Catheter

Precisely controlled automatic inflation and deflation was achieved using a specially devel-

device.19 The PTCA balloon was inflated and deflated at a steady rate under electronic

stepper motor control. A volume change rate of 0.05 ml/s was used, with a Schneider 10 ml

flator/deflator (Schneider Co, Buelach, Swit-

zeland). The volume change was given as an

analogue output from the electronic control

circuitry. Pressure was measured with a pres-

ure transducer calibrated to 1 MPa (10 bar)

and a pressure amplifier. Any PTCA balloon

catheter can be attached to the system. In the

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Methods

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delay of 10 seconds deflated. This was repeated four times. The position of each angioplastied section was measured and noted for the subsequent macroscopic and histological examination. The balloon was then removed from the artery and inflated/deflated four times in air.

PATHOLOGY EXAMINATION
Immediately after the PTCA, the artery was opened longitudinally and carefully examined for areas of stenosis and tears. Histological sections were then prepared by fixing the tissue in 4% buffered neutral formalin for at least two days, followed by decalcification. Areas of interest were then serially sliced and paraffin blocks made. Each was then sectioned at 4 µm and routinely stained with haematoxylin and eosin, and the arterial elastic tissue visualised by Verhoeff’s method. Care was taken during the subsequent microscopic examination to avoid listing artefactual features which might have been produced in the preparation of the sections. Each of the arteries was assessed, blind to angioplasty results, for the type of damage, the depth of fibrous plaque, and the presence of calcified plaque.

Results
A summary of the pressure–volume data, visual inspection, and histological data in the 17 coronary arteries studied is given in table 1. In eight arteries there were no quantifiable differences in the pressure–volume characteristics between any of the four inflations, showing that the arteries retained their characteristics from one inflation to the next and could therefore be characterised as elastic (E). An example of one (E1; numbering refers to the order in table 1) is given in fig 1A, showing the similar characteristics for each of the four repeat inflations of the balloon in the artery. This panel, and the subsequent panels, also shows the four repeat inflation cycles in air, superimposed on each other. The difference between inflation in air and inflation in a coronary artery results from the properties of the coronary artery.

In the other nine arteries, the pressure–volume characteristics were very different in the first inflation than in the subsequent three inflations. In all cases, the indication was that the deformation occurred during the first inflation. In six, the pressure continued to rise throughout the inflation, while in the other three the pressure suddenly fell during inflation.
before continuing to rise; the six illustrate only plastic (P) deformation, and the other three illustrate mechanical failure of the arterial wall structure and are classified as fracture (F) deformation. An example of substantial plastic deformation (P5) is shown in fig 1B, and in this case the second inflation continued to produce a small amount of plastic deformation. Examples of fracture deformation are given in figs 1C and D. That in fig 1C shows a small but quickly propagating fracture (F2), while that in fig 1D shows a much larger but more slowly propagating fracture (F1).

Examination of the arteries was undertaken blind to the knowledge of any pressure–volume information. Visual inspection of the arteries after the procedure indicated that three had suffered obvious fracture, two in the pressure–volume fracture group and one in the pressure–volume plastic group. This latter (P6, fig 1E) showed no major pressure–volume difference from the others in the plastic group, indicating that the tearing of the artery occurred gradually with the increasing balloon inflation, and there was no sudden failure.

Histological examination of the arteries confirmed that plaque was present in all of them, but was more extensive in the plastic and fracture groups (table 1). A histological section of the artery in our fracture group which had no visible evidence of failure showed disruption of the intimal plaque (F2, fig 2A). A similar histological section in the artery with the large slowly propagating fracture clearly showed fracture of the heavily calcified plaque (F1, fig 2B). Of the five arteries in our plastic group without direct visual evidence for the permanent deformation, one had separation of the intima and media, and two others had evidence of splitting of the internal and external elastic lamina. None of the eight in the elastic group showed visual or histological evidence of any permanent deformation.

**Discussion**

Much of the early research in examining pressure–volume relations used indirect measurement of volume, such as from angiographic images. The technique assessed here is able to record volume directly and continuously.

We have previously shown that in simulations using small bore elastic tubes the pressure–volume curves are highly repeatable, with a standard deviation error from the mean of only 9.0 kPa. Eight of the arterial samples showed tight grouping of the four repeat measurement cycles. All the others showed a clear deviation of the first pressure–volume inflation curve from the curves of the subsequent inflations. For the six in our plastic deformation group, the differences ranged from 25 to 175 kPa (mean (SD), 82 (61) kPa), well outside our repeatability error. In five of these six, the plastic deformation had been achieved in the first inflation. There was some evidence that in the artery with the greatest deformation (P5, fig 1B) there was some additional deformation on the second inflation, but this was much smaller than for the first.

The pressure–volume curves clearly identified fracture deformation. There was, however, one case in which fracture could not be differentiated from plastic deformation, and this could have been caused by the fracture propagating slowly as the balloon was inflated. In the three with pressure–volume fracture, the balloon pressure at which this occurred could be identified clearly (table 1). The balloon pressure results from stress in the balloon wall as well as in the arterial wall, and during clinical angioplasty it is not possible to differentiate between the two. However, with our technique it is possible to assess the characteristics of the balloon before inserting it into the coronary artery, and it is hence possible to identify separately the stress being produced in the arterial wall. It should therefore be possible to prevent excessive stresses which might lead to permanent undesirable fracture or dissection.

Continuous pressure–volume measurements were obtained by Jain et al using manual inflation to enable qualitative observations to be
made, and this was followed up by Demer in the same centre, using a specially designed balloon catheter in rabbit aortas. Our report is the first to provide such detailed quantitative pressure–volume curves using automatic inflation with a standard angioplasty inflator and balloon catheter in human arteries.

Preparation of samples for histological examination can introduce distortion in the samples, and hence we have reported only those observations which could not have arisen artificially. Because of the extensive disruption of the arteries by plaque we were unable to use histology to differentiate those arteries more likely to result in the different deformation characteristics.

We accept that this technique has limitations and that the samples used in this study may not give the same results as those from intact arteries, since the cut ends could make fracture more likely. The effect of the balloon and the inflation system is superimposed on that of the artery, and hence the technique requires a calibration inflation of the balloon in air. It was not our intention in this paper to uncover new data on arteries, but to demonstrate a practical measurement technique which can be used during clinical PTCA. We have shown that it is possible to identify different arterial deformation characteristics from coronary artery angioplasty pressure–volume curves. Research is now required to assess the ability of our technique to identify those angioplasty procedures that are likely to result in arteries remaining patent for longer. The technique has potential for influencing the way clinical angioplasty is carried out.

This work was supported by the British Heart Foundation.

5 Waller BR, Fry ETA, Peters TF, et al. Abrupt (<1 day), acute (<1 week), and early (<1 month) vessel closure at the angioplasty site. Morphologic observations and causes of closure in 150 necropsy patients undergoing coronary angioplasty. Clin Cardiol 1990;19:857–68.