HEART FAILURE
Non-transplant surgery for heart failure

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Thrombolysis and PTCA save lives due to acute myocardial infarction, but incomplete or delayed reperfusion results in akinesia or dyskinesia. If more than 20% of the left ventricular circumference is dyskinetic, the remaining contractile cavity dilates to increase stroke volume. When more than 50% of the myocardium is impaired, increased wall tension (LaPlace’s law) triggers progressive left ventricular failure with regression to myocyte fetal genetics and apoptosis.1 2 In Britain, most heart failure is caused by coronary artery disease, particularly in patients over 60 years old. There are now hundreds of thousands of patients with debilitating symptoms despite maximal medical treatment. Less than 300 cardiac transplants per year are undertaken in a labour intensive way by 10 separate units. In a short time, more palliated young patients with congenital heart disease will require these organs. Consequently, the treatment of older patients with coronary disease and idiopathic dilated cardiomyopathy requires a radical rethink.

Table 1 Relation between infarct size and mortality

<table>
<thead>
<tr>
<th>Three year</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mortality (%)</td>
<td></td>
</tr>
<tr>
<td>Myocardial infarction or scar &gt; 23%</td>
<td>43</td>
</tr>
<tr>
<td>Myocardial infarction or scar &lt; 23%</td>
<td>5</td>
</tr>
<tr>
<td>EF ≤ 43%</td>
<td>38</td>
</tr>
<tr>
<td>EF &gt; 45%</td>
<td>6</td>
</tr>
<tr>
<td>EF ≤ 43% without viable myocardium</td>
<td>63</td>
</tr>
<tr>
<td>EF ≤ 43% with viable myocardium*</td>
<td>13</td>
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</tbody>
</table>

*For all patients with viable myocardium the three year mortality rate was 8% (80% had CABG). For patients with only fixed scar > 23% mortality rate was 50% (p = 0.018). Only 40% had CABG with no difference in mortality with or without CABG. EF, ejection fraction.

Coronary revascularisation in heart failure patients

High risk coronary bypass is the most frequent conventional operation in heart failure patients. Incomplete myocardial infarction leaves viable but ischaemic myocardium within involved segments (flow/metabolism mismatch). Hibernating myocardium is an unstable substrate for postinfarction dysrhythmic events and mortality, independent of age or LVEF (event rate 43% vs 8% for scar).3 Hibernating myocardium will recover contractile performance with reduced risk of dysrhythmia after coronary revascularisation, but for global improvement in left ventricular function, sufficient reversibly ischaemic territory must be present. Differentiation between reversible ischaemia and infarction is made on clinical grounds (angina which responds to sublingual nitrates) and objectively by positron emission tomography (PET) scan or dobutamine stress echocardiography.4 Heart failure patients without reversible ischaemia do not have an improved outlook with coronary bypass, and LVEF alone is a poor predictor of surgical outcome.

- Cardiac transplantation is a very rare commodity.
- All transplant candidates with coronary disease should be assessed for hibernating myocardium then revascularisation.
- Those candidates with dilated cardiomyopathy should be considered for mitral repair.
outcome when compared with the extent of reversible ischaemia.\textsuperscript{13} In fact, survival benefit from coronary bypass increases as LVEF decreases. Other contraindications to high risk coronary surgery are poor target vessels, a pulmonary artery pressure $> 60$ mm Hg, and significantly impaired right ventricular function.

The ethos for revascularisation of the failing ventricle can be summarised as simplicity, safety, and speed. The intra-aortic balloon pump is employed preoperatively. Only good target vessels to documented reversibly ischaemic myocardium are grafted to keep the cross clamp time short. Moderate and severe mitral regurgitation are corrected and full thickness scar excised where feasible. Those with access to left ventricular assist devices (LVADs) employ these to keep the perioperative mortality below 10–15\%.\textsuperscript{4} Non-cardiac risk factors for death include great age, female sex, a history of hypertension or chronic obstructive vascular disease, and the presence of peripheral arterial disease or renal impairment. In selected patients with hibernating myocardium but poor target vessels to some areas, concomitant transmyocardial laser revascularisation has been shown to improve survival.\textsuperscript{9}

Useful data regarding patient selection and outcome for high risk revascularisation have emerged from transplant centres where end stage heart failure patients were selected out for myocardial revascularisation. Hausmann and colleagues in Berlin compared 225 revascularisation patients with 231 others who received a donor organ.\textsuperscript{10} The important transmyocardial laser revascularisation has been shown to improve survival.\textsuperscript{9}

Table 2 provides guidelines suggesting coronary bypass in preference to cardiac transplantation for patients with end stage coronary disease. Table 3 summarises the surgical treatment options in end stage ischaemic heart disease.

![Image](http://heart.bmj.com/content/83/5/603)

**Table 2** Guidelines for coronary bypass versus transplantation in end stage coronary artery disease\textsuperscript{10}

<table>
<thead>
<tr>
<th>Condition</th>
<th>CABG alone</th>
<th>Transplant</th>
</tr>
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<tbody>
<tr>
<td>Reversible ischaemia LVESEVI $&lt; 60$ ml/m$^2$</td>
<td>CABG alone</td>
<td>Linear resection $\pm$ CABG</td>
</tr>
<tr>
<td>Full thickness scar and left ventricular aneurysm Class III/IV mitral regurgitation</td>
<td>Surgical remodelling $\pm$ CABG</td>
<td>Mitral repair $\pm$ CABG</td>
</tr>
<tr>
<td>Akinesic/dyskinesic left ventricle LVESEVI $&gt; 60$ ml/m$^2$</td>
<td>CABG alone</td>
<td>Surgical remodelling $\pm$ CABG</td>
</tr>
<tr>
<td>Reversible ischaemia LVESEVI $&gt; 100$ ml/m$^2$</td>
<td>CABG alone</td>
<td>Surgical remodelling $\pm$ CABG</td>
</tr>
<tr>
<td>Pulmonary hypertension (PAP $&gt; 70$ mm Hg)</td>
<td>CABG alone</td>
<td>Surgical remodelling $\pm$ CABG</td>
</tr>
<tr>
<td>Right ventricular failure</td>
<td>CABG alone</td>
<td>Surgical remodelling $\pm$ CABG</td>
</tr>
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LVESEVI, left ventricular end systolic volume index (normal is $< 30$ ml/m$^2$); CABG, coronary artery bypass grafting; PAP, pulmonary artery pressure.

Patients with large left ventricular aneurysms gain symptomatic relief from simple linear aneurysmectomy. So called “ventricular restoration” has recently extended from scarred paradoxical segments to akinetic areas which were not previously thought suitable for surgery.\textsuperscript{13} The goal of surgical reversal of remodelling is to exclude the infarcted septum and free wall and reshape the left ventricle from globular to elliptical without critically reducing cavity volume. This improves global function.
and arrests progression of left ventricular failure. Ventricular dysrhythmias and mitral regurgitation are addressed during the same procedure.

In the Dor procedure, or the Buckberg modification, the left ventricle is opened through scar and subtotal endocardectomy (fig 2), performed over the septum and posterior wall. In the event of recurrent ventricular arrhythmias, cryotherapy is applied at the limits of the resection. The boundary between normal endocardium and scar is defined and a circumferential endoventricular (Fontan) circular suture passed between 1–2 cm outside the limit of healthy muscle. This circular constricting suture is tied to reduce the size of the left ventricle around a balloon inflated within the cavity to a diastolic volume of 50–70 ml/m². The residual apical defect is then closed with a Dacron patch cut according to the circumference of the circular suture after removing the balloon. The technique restores an elliptical shape (fig 3) with improved function over the globular failing ventricle. Intraoperative echocardiography has shown a decrease in LVEDV from a mean of 194 ml to 128 ml (p = 0.001) and an improvement in LVEF from a mean of 29% to 41% (p = 0.003). The operation can be performed in patients with very low LVEF (< 20%) and pulmonary hypertension with a hospital mortality of 12–18%. This compares with a 3% mortality for those with ejection fraction > 30%. Most patients improve to NYHA I or II, but 10% of survivors are not improved and about 25% have persistently raised pulmonary artery pressure through impaired diastolic compliance.

Linear left ventricular aneurysm resection and the Dor procedure both improve remote myocardial function secondary to a reduction in wall tension. This “LaPlace” concept was expanded by Batista in his partial left ventriculectomy operation. The much hyped procedure was devised to reduce left ventricular volume and wall stress, thereby improving LVEF and symptomatic status. It was widely adopted as an alternative to transplantation without adequate guidelines or convincing information on sustainability or survival. The technique itself consists of a wedge resection of posterolateral left ventricular wall either between or including the papillary muscles. The incision begins at the apex of the ventricle and extends to within 2–3 cm of the mitral annulus (fig 4). Full thickness myocardial excision proceeds irrespective of the coronary anatomy and usually removes the obtuse marginal branches in the circumflex territory. The cavity is then reconstituted along its long axis with a continuous suture.

There is surprisingly little information on the amount of myocardium excised. The Cleveland Clinic group weighed the resected specimen, which ranged from 30–290 g (mean 96 g). The San Paolo group removed a posterolateral segment measuring 10.9 (2) cm × 5 (0.8) cm, equivalent to about 20% of the left ventricular circumference. When the resection includes the base of one or both papillary muscles (88% of cases), the valve is either replaced with a prosthesis or the papillary muscles are reimplanted with transfixion sutures at the margins of the ventriculotomy (fig 5). If the mitral subvalvar apparatus is preserved, the free margins of the anterior and posterior leaflets are sewn together to produce...
a double channelled mitral valve (Alfieri method)\(^2\) (fig 6). Even published hospital mortality has been prohibitive, ranging from 1.9–27% with an average of 17.4%. Low hospital mortality has been achieved only with the aid of long term LVAD support (20% of patients), and cardiac transplantation.

In survivors, there is a significant decrease in both end diastolic and end systolic volume indices. While LVEF initially improves, restudy at 12 months fails to show significant differences between preoperative LVEF (17.7 (4.6)) and late LVEF (23.7 (6)) in matched patients. The suggested mechanism for improvement in LVEF is reduction of systolic wall stress rather than a change in contractility. There is an inverse relation between the decrease of circumferential end systolic stress and increase in LVEF. In McCarthy's series, mean LVEF improved from 13% to 21% and peak oxygen consumption from 11 ml/kg/min to 16 ml/kg/min at 12 months.\(^1\) Twelve month survival at the Cleveland Clinic was 80% though LVADs were required for bridge to transplantation in 16% of patients. However, freedom from heart failure of any cause (relisting for transplant, death or class IV symptoms) was only 50% by 12 months and 38% at two years.

Though the reduced ventricular geometric dimensions may be sustained up to 12 months (fig 7), pump function begins to deteriorate after six months. The first sign is a rise in left atrial pressure. The discrepancy between geometry and sustainability of mechanical function is attributed to the fact that mass reduction causes changes in diastolic compliance.

Though late data are scarce, we defined a 16% mortality from all reported series through progressive heart failure (38%), sudden or arrhythmic death (38%), stroke, transplant heart failure, sepsis or hepatic failure.\(^6\) The procedure has been abandoned in ischaemic cardiomyopathy through a prohibitive incidence of fatal dysrhythmias caused by stretch-
overload of the already dilated left ventricle. Reports of prohibitive operative mortality for mitral valve replacement in dilated cardiomyopathy patients in the early 1980s suggested that the failing ventricle deteriorates further, if the “blow off” into the left atrium is removed. However, new information shows that mitral valve repair (or replacement) with preservation of the subvalvar apparatus carries low perioperative mortality, good medium term survival, and symptomatic relief through improvement in cardiac index.

There are now a number of clinical situations where mitral valve repair with or without revascularisation improves outlook for the heart failure patient. These include:

- Ischaemia manifest by angina and variable mitral regurgitation which becomes significantly worse during an acute ischemic episode, causing dyspnoea at rest or left ventricular failure with pulmonary oedema.
- Acute myocardial ischaemia or infarction located inferobasally (right coronary or dominant circumflex distribution) which causes sudden postero medial papillary muscle dysfunction and mitral regurgitation.
- Acute catastrophic pulmonary oedema caused by papillary muscle rupture (infero basal in 75% of cases) several days after acute myocardial infarction.
- Chronic progressive dyspnoea (NYHA III or IV) associated with previous myocardial infarction, an enlarged dysfunctional left ventricle, and varying degrees of pulmonary hypertension. This comprises the largest group.
- Patients with idiopathic dilated cardiomyopathy and annular dilatation producing moderate to severe mitral regurgitation through inadequate leaflet coaptation.

The recommended threshold for mitral repair in ischaemic regurgitation is a left ventricular end systolic volume index > 80 ml/m² or a calculated regurgitant fraction > 50% of the forward LVEF. Patients with angina, good target vessels, mild to moderate mitral regurgitation, and reversible ischaemia postero laterally on the PET scan can be treated by revascularisation alone. Should valve replacement prove necessary, as much of the subvalvar apparatus as possible should be conserved to maintain left ventricular geometry and function. Division of all chordae tendae is accompanied by a 47% reduction in LVEF.

Ischaemic mitral regurgitation is a functional problem of unsuccessful coordination of the entire mitral apparatus rather than simple failure of a single papillary muscle. Two techniques have provided symptomatic improvement in this greatly increases leaflet coaptation.

Systolic anterior motion (SAM) is avoided because of widening of the aortomitral angle and increased left ventricular size. The undersized valve ring acutely remodels the base of the myopathic heart, helping to re-establish an ellipsoid shape to the left ventricle. Second, and simpler, is the Alfieri stitch. This can be performed either centrally (fig 6) or towards the side of the ischaemic papillary muscle.

Bolling has shown the important effect of mitral repair in patients with end stage dilated cardiomyopathy. All had severe left ventricular systolic dysfunction with preoperative LVEF ranging from 8-25% (mean 16 (3)%). The average duration of cardiomyopathy was 4 (6) years (range 0–16). All patients underwent remodelling ring annuloplasty with an undersized flexible ring. Half had tricuspid annuloplasty. Hospital mortality was < 2% while 12 and 24 month survival were 82% and 72%. All patients were restored to NYHA class I or II with mean postoperative LVEF of 26%. Peak exercise Vo₂ max rose from a mean of 14.5 to 18.6 ml/kg/min. Echocardiography at two years showed a pronounced reduction in sphericity, regurgitant volume, and regurgitant fraction. LVEF, end diastolic and end systolic volumes were all improved (table 4).

### Mechanical blood pumps

Ideal treatment for chronic refractory heart failure should be reliable, cost effective, easy to implement, and capable of providing a physiological level of circulatory support. Existing cardiac support devices such as the pacemaker and implantable defibrillator are already widely accepted for patients of all ages. Within the next 10 years a user friendly miniaturised LVAD is destined to become the treatment of

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**Table 4 Mitral valve repair in dilated cardiomyopathy. Left ventricular function and flow before and after annuloplasty**

<table>
<thead>
<tr>
<th>Variable</th>
<th>Preoperatively</th>
<th>Postoperatively</th>
<th>% change*</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>End diastolic volume (ml)</td>
<td>335 (107)</td>
<td>307 (103)</td>
<td>18 (9)</td>
<td>0.06</td>
</tr>
<tr>
<td>End systolic volume (ml)</td>
<td>227 (101)</td>
<td>237 (98)</td>
<td>-15 (14)</td>
<td>0.03</td>
</tr>
<tr>
<td>Stroke volume (ml)</td>
<td>58 (13)</td>
<td>70 (21)</td>
<td>+12 (10)</td>
<td>0.02</td>
</tr>
<tr>
<td>Ejection fraction (%)</td>
<td>18 (5)</td>
<td>24 (10)</td>
<td>+31 (24)</td>
<td>0.03</td>
</tr>
<tr>
<td>Mitral inflow (l/min)</td>
<td>12.4 (5.3)</td>
<td>15 (5)</td>
<td>+12 (22)</td>
<td>0.02</td>
</tr>
<tr>
<td>Forward cardiac output (l/min)</td>
<td>3.2 (1.0)</td>
<td>4.7 (0.9)</td>
<td>+52 (38)</td>
<td>0.01</td>
</tr>
<tr>
<td>Regurgitant volume (l/min)</td>
<td>9.2 (5.4)</td>
<td>0.8 (0.6)</td>
<td>88.6 (10.1)</td>
<td>0.01</td>
</tr>
<tr>
<td>Regurgitant fraction (%)</td>
<td>70 (14)</td>
<td>15 (11)</td>
<td>-79 (15)</td>
<td>-0.001</td>
</tr>
</tbody>
</table>

Values are mean (SD). *Percentage change from preoperative study at 4–6 months postoperatively. NYHA class fell significantly from 3.9 (0.4) to 1.8 (0.5) (p < 0.001).

choice to relieve symptoms and prolong life in older heart failure patients.

Total heart replacement became virtually redundant when it was clear that more than 90% of patients could be sustained with left ventricular support alone. Only those with advanced right ventricular pathology or fixed pulmonary hypertension require biventricular support. Those LVADs currently used for bridge to transplantation have their origins in the 1970s and can be regarded as first generation blood pumps. The Novacor (Baxter Health Care, California, USA) and Thermo-Cardio Systems (Woburn, Massachusetts, USA) LVADs consist of a blood sac in series with the native left ventricle and compressed by a pusherplate mechanism, either electrically or pneumatically driven. Bioprosthetic heart valves dictate the direction of flow. This mechanism mimics the native left ventricle by providing pulsatile stroke volume with either variable or fixed pump rate. The patients own left ventricle is completely unloaded so that the aortic valve does not open. While large external pneumatic consoles have been replaced by implantable electric systems with portable control and power source, the serious problem of LVAD size, noise, driveline infection, and thromboembolism persist. These devices are unsuitably large for most female patients or children. Nevertheless, some bridge to transplant patients have survived with acceptable quality of life for up to four years.

The new axial flow impeller pumps are the next generation of artificial hearts. In animal studies these compact, silent, non-pulsatile blood pumps provide up to 6 litres flow per minute, without significant haemolysis or thromboembolism. The thumb sized Jarvik 2000 heart fits within the apex of the failing left ventricle and pumps blood to the descending thoracic aorta (fig 8). The impeller supported by blood immersed microceramic bearings revolves at up to 18 000 rpm accelerating blood so rapidly through a narrow channel that the cellular components remain undamaged. The controller and batteries are the size of a portable telephone and fit easily onto a normal belt. While transcatheter power induction is under development, we have devised an infection resistant skull mounted percutaneous titanium pedestal for the first human implants. The extracardiac NASA/de Bakey axial flow pump has already been tested for bridge to transplantation in humans with mixed results owing to mechanical problems. Other ingenious blood pumps with magnetically suspended rotors (without bearings) are under development. This next generation, fully implantable miniature artificial hearts greatly increase the future scope of circulatory support, but mechanical reliability and freedom from complications remains to be established. We must also define the effects of chronic non-pulsatile blood flow, though the recovering native heart will transmit a pulse throughout the device. We anticipate that the cost of a blood pump will be less than that of multiple hospital admissions for stabilisation during the last year of the patient’s life. The device will

One of the revelations of the past five years is the effect of chronic left ventricular offloading on the remodelled left ventricle. Reduction in cardiac workload by strict prolonged bed rest is known to result in functional and symptomatic improvement, though the benefits are limited by the adverse effects of inactivity on the skeletal muscles, vascular tone, and autonomic nervous system. However, when myocardial rest is accompanied by whole body exercise training the combined benefits become apparent. These are the conditions achieved during long term bridge to transplantation with the ThermoCardio Systems and Novacor LVADs. The improved systemic blood flow reverses multisystem organ failure and enables resumed physical activity, while the left ventricle is com-

- A realistic blood pump for long term circulatory support must be unobtrusive, silent, mechanically reliable with economic energy consumption, non-thrombogenic, free from constant infection risk and able to provide between 3–10 litres flow per minute without haemolysis. Such devices are now available for human implant.
- In the next 10 years blood pumps will be used for heart failure in the same way that pacemakers and implantable defibrillators are used for dysrhythmia.
- Reverse remodelling with an LVAD
Renal and hepatic failure improve whereas serum aldosterone concentrations, plasm renin activity, atrial natriuretic peptide, and norepinephrine concentrations revert to normal. In dilated cardiomyopathy patients bridged to transplantation, left ventricular size regresses with normalization of pressure volume relations, resolution of left ventricular hypertrophy, and decreased myocardial fibrosis, apoptosis. Comparison of myocardial biopsies taken at the time of LVAD implantation, then later during transplantation, show regression of myocyte hypertrophy with normalization of calcium, phospholipid, and fatty acid metabolism. Realisation that recovering hearts were being discarded by transplantation, together with the incontrovertible shortage of organ donors, led to the concept of bridge to myocardial recovery. Although the scope for bridge to recovery is obvious, there are certain requirements before this strategy has a chance for success. The first is a user friendly LVAD for patients of all sizes. This must be simple to implant and remove without the risk of driveline infection and easy for the patient to control. The axial flow pumps with a skull mounted percutaneous pedal or transcutaneous power induction are promising in this respect. Second, the LVAD should be employed before diffuse fibrosis renders reversal of ventricular remodelling unachievable. Strategies to promote myocardial recovery with drugs, growth factors, gene therapy, and inhibitors of apoptosis are under investigation and markers for sustainability of recovery explored. In this respect, the Berlin group has used the disappearance from the serum of the autoantibody against the β-adrenergic receptor, suggesting that this reflects abatement of the immune process causing functional impairment. Clinical reports of bridge to recovery demonstrate the importance of myocardial pathology. Patients with acute myocarditis who are in terminal decline can be supported with an LVAD or BIVAD until resolution of the inflammatory process. Even those who require external cardiac massage and conventional cardiopulmonary bypass to sustain life during LVAD implantation can be restored to near normal cardiac function.

In a study of weaning of dilated cardiomyopathy patients from chronic left ventricular support, Muller compared factors which distinguished those with well sustained recovery from others who slipped back into heart failure. Patients with long lasting recovery were younger, had a shorter history of heart failure, a more rapid improvement in cardiac performance, and needed a shorter duration of LVAD support before cardiac indicators justified device removal. The duration over which the autoantibodies disappeared from the serum was shorter (8.8 (2.3) weeks vs 9.7 (3.3) weeks, p = 0.0027) in patients with sustainable recovery. Not different were mean age (41.5 (9.9) years vs 50.3 (11.2)), mean LVED diameter at the time of device placement (75.2 (7.9) mm vs 78.7 (4.8) mm), LVEF (14.8 (2.9)% vs 17.0 (2.3)%), and mean LVED diameter two months after LVAD placement (53.7 (6.2) mm vs 55.6 (7.2) mm). The Berlin group concluded that an LVAD offloading period of between 8–10 weeks was optimum in patients destined for sustainable recovery, and that longer support could lead to myocyte atrophy. Others have reservations about this limited duration and report success for much longer periods.

The scope of heart failure surgery is developing rapidly but relies increasingly upon expensive diagnostic techniques and mechanical circulatory support. The major issues are not ethical but economic. New miniature axial flow and centrifugal blood pumps are emerging from bioengineering laboratories and will eventually be used as frequently for heart failure as is the pacemaker for rhythm problems. In the future, new drugs, gene therapy, and autogenous myocyte culture will promote left ventricular repair during circulatory support, thereby freeing the limited number of donor organs for younger complex congenital hearts.

### Summary

6. After myocardial infarction, residual viable but ischaemic myocardium is an unstable substrate for further events. This study shows surgical revascularisation reduces the risk of these events, though age and the severity of left ventricular function remain the best predictor of death.
   - This paper reviews the outcomes of patients subject to high risk coronary revascularisation or transplantation in a pool of end stage heart failure patients referred for transplantation. Patients with hibernating myocardium treated by coronary bypass had a better long term outlook than those transplanted. The article outlines which patients should undergo coronary bypass in preference to transplantation.


