Atrial septostomy for pulmonary arterial hypertension

R J Allcock, J J O'Sullivan, P A Corris

Heart 2003;89:1344–1347

Despite progress with new drugs to treat pulmonary arterial hypertension (PAH), a proportion of patients still deteriorate despite medical treatment. Atrial septostomy is emerging as an effective palliative treatment associated with notable improvement in symptoms, exercise capacity, and survival. Experience of this approach in the UK is limited and many cardiologists are either unaware of this option or regard it as an experimental procedure. We report the results of the first 12 atrial septostomy procedures performed at our centre in nine patients to palliate severe PAH.

PATIENTS AND METHODS

Between December 1999 and December 2001 nine female patients underwent atrial septostomy. Three patients had PAH associated with scleroderma; six had primary pulmonary hypertension (PPH). The symptom leading to consideration of septostomy was exercise syncope in five patients and pre-syncope in the others. Six patients were New York Heart Association (NYHA) functional class IV; the remainder were functional class III.

All patients underwent right heart catheter assessment 0–8 months before septostomy. The catheter data are shown in Table 1 together with the probability of survival to two years calculated using the regression equation from the US National Institutes of Health (NIH) primary pulmonary hypertension registry.1

Atrial septostomy was performed in a standard cardiac catheter laboratory under local anaesthetic. A transeptal puncture was performed using a Brockenbrough needle via a long femoral sheath. A guide wire was passed into the left atrium and lodged in a pulmonary vein followed by serial dilation of the interatrial septum using 9, 12, 15, and 18 mm balloon catheters in a stepwise fashion. After each dilation, systemic oxygen saturation was observed for at least five minutes and the defect was considered adequate when oxygen saturation remained between 80–85%. Following the operation, patients were discharged home with advice on activity and a follow-up 4–6 weeks later.

Figure 1 Exercise capacity graphs for the seven patients in whom exercise data are available. The graphs show the six minute walking distance before and after atrial septostomy (time zero). Patients 1, 3, and 5 had repeat or revision atrial septostomy at time point indicated by the arrow.

Abbreviations: NIH: National Institutes of Health; NYHA: New York Heart Association; PAH: pulmonary arterial hypertension; PPH: primary pulmonary hypertension
Table 1

<table>
<thead>
<tr>
<th>No.</th>
<th>Sex</th>
<th>Age</th>
<th>Diagnosis code</th>
<th>Symptoms</th>
<th>NHI probability of surviving 2 years</th>
<th>NYHA class</th>
<th>Pre-syncope</th>
<th>mPAP (mmHg)</th>
<th>mPVR (WU/m²)</th>
<th>mO₂ saturation before</th>
<th>mO₂ saturation after</th>
<th>RAP(mmHg)</th>
<th>Cardiac index (l/min/m²)</th>
<th>Repeat procedure</th>
<th>Procedure</th>
<th>Syncope</th>
<th>Enlargement of hole</th>
<th>3 month</th>
<th>15 month</th>
<th>12 month</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>F</td>
<td>25</td>
<td>35 PPH</td>
<td>Syncope</td>
<td>0.60</td>
<td>IV</td>
<td>Yes</td>
<td>24.7</td>
<td>0.61</td>
<td>99</td>
<td>51</td>
<td>1.56</td>
<td>67</td>
<td>No</td>
<td>Yes</td>
<td>Syncope</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>2</td>
<td>F</td>
<td>25</td>
<td>35 PPH</td>
<td>Syncope</td>
<td>0.60</td>
<td>IV</td>
<td>Yes</td>
<td>24.7</td>
<td>0.61</td>
<td>99</td>
<td>51</td>
<td>1.56</td>
<td>67</td>
<td>No</td>
<td>Yes</td>
<td>Syncope</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>3</td>
<td>F</td>
<td>25</td>
<td>35 PPH</td>
<td>Syncope</td>
<td>0.60</td>
<td>IV</td>
<td>Yes</td>
<td>24.7</td>
<td>0.61</td>
<td>99</td>
<td>51</td>
<td>1.56</td>
<td>67</td>
<td>No</td>
<td>Yes</td>
<td>Syncope</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>4</td>
<td>F</td>
<td>68</td>
<td>8 PPH</td>
<td>Syncope</td>
<td>0.60</td>
<td>IV</td>
<td>Yes</td>
<td>24.7</td>
<td>0.61</td>
<td>99</td>
<td>51</td>
<td>1.56</td>
<td>67</td>
<td>No</td>
<td>Yes</td>
<td>Syncope</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>5</td>
<td>F</td>
<td>25</td>
<td>35 PPH</td>
<td>Syncope</td>
<td>0.60</td>
<td>IV</td>
<td>Yes</td>
<td>24.7</td>
<td>0.61</td>
<td>99</td>
<td>51</td>
<td>1.56</td>
<td>67</td>
<td>No</td>
<td>Yes</td>
<td>Syncope</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>6</td>
<td>F</td>
<td>68</td>
<td>8 PPH</td>
<td>Syncope</td>
<td>0.60</td>
<td>IV</td>
<td>Yes</td>
<td>24.7</td>
<td>0.61</td>
<td>99</td>
<td>51</td>
<td>1.56</td>
<td>67</td>
<td>No</td>
<td>Yes</td>
<td>Syncope</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>7</td>
<td>F</td>
<td>24</td>
<td>5 PPH</td>
<td>Syncope</td>
<td>0.60</td>
<td>IV</td>
<td>Yes</td>
<td>24.7</td>
<td>0.61</td>
<td>99</td>
<td>51</td>
<td>1.56</td>
<td>67</td>
<td>No</td>
<td>Yes</td>
<td>Syncope</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>8</td>
<td>F</td>
<td>24</td>
<td>5 PPH</td>
<td>Syncope</td>
<td>0.60</td>
<td>IV</td>
<td>Yes</td>
<td>24.7</td>
<td>0.61</td>
<td>99</td>
<td>51</td>
<td>1.56</td>
<td>67</td>
<td>No</td>
<td>Yes</td>
<td>Syncope</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>9</td>
<td>F</td>
<td>69</td>
<td>2 PPH</td>
<td>Syncope</td>
<td>0.60</td>
<td>IV</td>
<td>Yes</td>
<td>24.7</td>
<td>0.61</td>
<td>99</td>
<td>51</td>
<td>1.56</td>
<td>67</td>
<td>No</td>
<td>Yes</td>
<td>Syncope</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

Atrial septostomy was carried out successfully in all patients with no procedure related deaths. One patient (patient 5) suffered chest pain and hypotension after the first balloon dilation requiring analgesia and transfusion of packed red cells. A pericardial effusion was seen on echocardiography after the dilation raising the possibility of a pericardial bleed. She was subsequently discharged at day 4 with no further problems. Three patients had repeat atrial septostomy. Patient 3 underwent repeat procedure at four months to enlarge the septal hole. She was haemodynamically fragile during the initial procedure and we took a cautious approach to avoid excessive right to left shunting. Patients 1 and 5 underwent a repeat procedure because of closure of the hole at 15 months and three months, respectively. The patient characteristics are shown in Table 1.

Two patients have died since atrial septostomy and one has undergone heart/lung transplantation. Patient 4 was thyrotoxic at the time of septostomy. The procedure was performed without complications but she died six weeks later from a cardiac arrest. In patient 8, septostomy was delayed after she fractured her pelvis following a syncopal fall. The procedure was delayed until judged safe to anticoagulate her. She died two months after septostomy from complications of the pelvis fracture.

Exercise data were available for seven patients (fig 1). The six minute walking test improved in three patients with benefit accruing progressively over 12 weeks following the procedure. In three patients there was little change in exercise capacity; two of these patients had six minute walking tests of around 400 m before septostomy. At this level, the six minute walking test is a poor discriminator for detecting improvement. In one patient there was continued deterioration in exercise capacity despite septostomy. She was the only patient to report increased dyspnoea associated with the drop in systemic oxygen saturation. Dyspnoea remained a prominent symptom and she elected to be listed for transplantation.

Survival of our patients is calculated to July 2002 and compared to the expected survival based on NIH registry data. Using the regression equation developed from this dataset, the number of days are calculated to the point where the probability of survival falls below 50%. Invasive haemodynamic data used for calculation of expected survival was carried forward to the date of septostomy. Figure 2 shows the transplant-free survival observed in our patients after septostomy together with the predicted survival calculated using the NIH registry equation.

**RESULTS**

Atrial septostomy was carried out successfully in all patients with no procedure related deaths. One patient (patient 5) suffered chest pain and hypotension after the first balloon dilation requiring analgesia and transfusion of packed red cells. A pericardial effusion was seen on echocardiography after the dilation raising the possibility of a pericardial bleed. She was subsequently discharged at day 4 with no further problems. Three patients had repeat atrial septostomy. Patient 3 underwent repeat procedure at four months to enlarge the septal hole. He was haemodynamically fragile during the initial procedure and we took a cautious approach to avoid excessive right to left shunting. Patients 1 and 5 underwent repeat procedure because of closure of the hole at 15 months and three months, respectively. The patient characteristics are shown in Table 1.

Two patients have died since atrial septostomy and one has undergone heart/lung transplantation. Patient 4 was thyrotoxic at the time of septostomy. The procedure was performed without complications but she died six weeks later from a cardiac arrest. In patient 8, septostomy was delayed after she fractured her pelvis following a syncopal fall. The procedure was delayed until judged safe to anticoagulate her. She died two months after septostomy from complications of the pelvis fracture.

Exercise data were available for seven patients (fig 1). The six minute walking test improved in three patients with benefit accruing progressively over 12 weeks following the procedure. In three patients there was little change in exercise capacity; two of these patients had six minute walking tests of around 400 m before septostomy. At this level, the six minute walking test is a poor discriminator for detecting improvement. In one patient there was continued deterioration in exercise capacity despite septostomy. She was the only patient to report increased dyspnoea associated with the drop in systemic oxygen saturation. Dyspnoea remained a prominent symptom and she elected to be listed for transplantation.

Survival of our patients is calculated to July 2002 and compared to the expected survival based on NIH registry data. Using the regression equation developed from this dataset, the number of days are calculated to the point where the probability of survival falls below 50%. Invasive haemodynamic data used for calculation of expected survival was carried forward to the date of septostomy. Figure 2 shows the transplant-free survival observed in our patients after septostomy together with the predicted survival calculated using the NIH registry equation.

**DISCUSSION**

The first atrial septostomy in a patient with PPH was performed in 1983. Early series from the USA reported high mortality—largely caused by difficulty in achieving accurate control of the size of the atrial shunt. Improvements in patient selection together with use of a sequential, balloon dilation technique have improved the safety of the procedure. Recent series show significant improvements in exercise capacity and survival when compared with retrospective controls. However, to date, atrial septostomy has been performed in less than 300 PPH patients worldwide and the total UK experience is limited to about 30 patients.
Why might atrial septostomy confer survival advantage for patients with PAH? The right ventricle receives its blood supply from the aorta. During exercise, systemic vascular resistance falls. In a patient with PPH, cardiac output cannot rise in response to exercise because of fixed obstruction in the pulmonary vascular bed. Systemic blood pressure therefore falls resulting in syncope together with reduced perfusion of the right ventricular myocardium. Peacock and colleagues have shown that right ventricular systolic pressure in patients with PAH rises sharply in response to exercise.² Twenty five per cent of patients with PPH die from sudden death.¹ We speculate that the mechanism of these sudden deaths may include arrhythmias precipitated by acute myocardial ischaemia. Most patients with PPH die from progressive right ventricular failure.¹ Repeated ischaemic stress to the right ventricle myocardium may contribute to progressive failure of the right ventricle.

One patient (patient 6) experienced unremitting dyspnoea following atrial septostomy. Her oxygen saturation was no lower than other patients and echocardiography showed that right ventricular dimensions and function both improved. However, the improvement in ventricular function was not translated into an improvement in six minute walking distance. Following this experience we are considering introducing pre-procedure assessment of hypoxic ventilatory response.

The comparison of the observed survival in our patients with the expected survival based on the NIH registry data¹ is not ideal. The NIH registry data were collected in the era before widespread use of prostacyclin. The right heart catheter data used to calculate predicted survival was obtained up to seven months before septostomy was performed. Data were carried forward to the date of septostomy in the prediction model and hence may not accurately reflect the haemodynamics at the time of septostomy.

Spontaneous improvement is rare in PAH. Five of our patients were deteriorating at the time of septostomy despite receiving long term prostacyclin treatment. Patients who had improvement and resolution of symptoms with medical treatment were not considered for septostomy. Hence, the time interval between catheter data and septostomy in this series may tend to underestimate the gain from septostomy.

The longest duration of follow up in our series to date is only three years. We think it likely that survival will be improved overall, however, because the previous inexorable decline seen in several patients appears to have been arrested.

The true survival benefit of an intervention can only properly be assessed in a randomised controlled study, but PPH is an uncommon and malignant disease. The rarity of the condition makes recruitment for such a trial challenging and the availability of transplantation would make it unethical to run a study with a true survival end point. The arrival of new drugs means that “standard treatment” has changed frequently in recent years. These factors together present substantial problems for designing studies to assess the survival advantage of a new intervention. The recent drug trials in PPH have all used the six minute walk test as the primary end point.¹² Changes in six minute walk correlate well with survival.¹ Most of our patients have shown significant improvement in exercise capacity following septostomy.

Case selection, the level of invasive monitoring required during and after the procedure, adjustment of medications, the role of blood transfusion pre- or post-procedure, and the ideal size of shunt are all areas that remain incompletely defined and clinical judgement remains important. Most reports of atrial septostomy for pulmonary hypertension to date have included only patients with PPH. Palliation is likely to be equally effective in patients with PAH arising in association with systemic sclerosis, as in three of our patients. This patient group is older than patients with PPH and may be less able to cope with complex treatments such as intravenous prostacyclin. Patients with systemic sclerosis, however, may derive substantial benefit from atrial septostomy.¹⁰

Selection of patients and management of their care before and after atrial septostomy are both as challenging as the procedure itself. No centre yet has extensive experience with atrial septostomy for pulmonary hypertension but it is a procedure with a high risk of fatal complications. Atrial septostomy should only be attempted in units with wide experience of managing patients with PAH and within the context of familiarity with the other treatment options now available for this fatal disease.

References


Correspondence to: Dr Robert Allcock, Freeman Hospital, High Heaton, Newcastle upon Tyne, UK; r.j.allcock@ncl.ac.uk

Authors’ affiliations

R J Allcock, J J O’Sullivan, P A Corris, Freeman Hospital, Newcastle upon Tyne, UK

Accepted 6 March 2003

www.heartnl.com
WEB TOP 10

www.heartjnl.com
These articles scored the most hits on Heart’s website during August 2003

1 Atrial fibrillation: classification, pathophysiology, mechanisms and drug treatment
   V Markides, R J Schilling
   August 2003;89:939-43. (Education in Heart)

2 Inflammatory and thrombotic mechanisms in coronary atherosclerosis
   D Tousoulis, G Davies, C Stefanadis, P Toutouzas, J A Ambrose
   September 2003;89:993-7. (Review)

3 Practical aspects of the management of pericardial disease
   B Maisch, A D Ristic
   September 2003;89:1096–103. (Education in Heart)

4 Development of the heart: (2) Septation of the atriums and ventricles
   August 2003;89:949-58. (Education in Heart)

5 Development of the heart: (3) Formation of the ventricular outflow tracts, arterial
   valves, and intrapericardial arterial trunks
   September 2003;89:1110–18. (Education in Heart)

6 Cardiac and vascular pathophysiology in hypertension
   J Mayet, A Hughes
   September 2003;89:1104–9. (Education in Heart)

7 Revascularisation for acute coronary syndromes: PCI or CABG?
   J Gunn, D P Taggart
   September 2003;89:967–70. (Editorial)

8 The elusive link between stenosis severity and prognosis in stable ischaemic heart
disease
   F Crea, G A Lanza
   September 2003;89:961–2. (Editorial)

9 Development of the heart: (1) Formation of the cardiac chambers and arterial trunks
   A Moorman, S Webb, N A Brown, W Lamers, R H Anderson
   July 2003;89:806–14. (Education in Heart)

10 Embolic protection devices
    G Sangiorgi, A Colombo
    September 2003;89:990–2. (Mini-symposium)

Visit the Heart website for hyperlinks to these articles, by clicking on “Top 10 papers”
www.heartjnl.com